09-12-12

1635

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

09/757,555

January 9, 2001

Application Number

Filing Date

FORM					1 (Kon V bes 1 V bes bed		
			First Named Inventor	Levon M. KH	Levon M. KHACHIGIAN SEP 1 6 2002		
			Group Art Unit	1635	32, 1 0 2002		
(to be used for all correspondence after initial filing)			Examiner Name	J. Epps	TECH CENTER 1600/290		
Total Number Of Pages In This Submission Refs.			Attorney Docket No.	52928200022	20		
ENCLOSURES (check all that apply)							
Fac Transmittal Form Ass		signment Papers		After Allowance Communication to Group			
Fee Attached			wing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Lice	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Pet	ition		Proprietary Information		
Affidavits/decl	larations		ition to Convert to a visional Application		Status Letter		
		wer of Attorney, Revocation ange of Correspondence Ac		■ below).			
Express Abandonment Request		minal Disclaimer Juest for Refund		Form PTO-1449 (1 page in duplicate) European Search Report (4 pages) 3 cited references Return receipt postcard			
Information Disclosure Statement (3 pages)		CD	, Number of CD(s)				
Certified Copy of Priority Document(s) Remarks							
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT							
Firm Morrison & Foerster LLP, 755 Page		e Mill Road, Palo Alto, California	94304-1018				
Or Shantany Page Page No. 42 219							
Individual Name Shantanu Basu, Reg. No.		5g. 140. 45,516					
Signature Shewler		m By					
Date September 10, 200)2					

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV093218524US

Date of Deposit: September 10, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Tamara Alcaraz

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Please type

lus sign (+) inside this box

TRANSMITTAL

SEP 10 2002

#12/8.7.

PATENT.

Docket No. 529282000220

Client Ref. WJP:13117567

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EV093218524US

Date of Deposit: September 10, 2002

Examiner: J. Epps

Group Art Unit: 1635

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Tamara Alcaraz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

SEP 1 6 2002

TECH CENTER 1600/2900

In the application of:

Levon M. KHACHIGIAN

Serial No.:

09/757,555

Filing Date:

January 9, 2001

For:

INHIBITION OF PROLIFERATION OF

CELLS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents numbered 1, 3 and 6 on the European Search Report were already cited in the Information Disclosure Statement filed on January 31, 2002. Copies of documents numbered 2, 4, and 5 are also submitted herewith. The Examiner is requested to make these documents of record.

IDS using Certification based on a Search Report

The documents listed on the attached Form PTO-1449 were cited in a European Search Report mailed on June 26, 2002 (copy attached) directed to a counterpart international or foreign application.

This Inf	ormatic	on Disclosure Statement is submitted:
	Withi	n three months of the application filing date or before mailing of a first
	Office	Action on the merits; accordingly, no fee or separate requirements are
	requir	ed.
\boxtimes	After	receipt of a first Office Action on the merits but before mailing of a final
	Office	e Action or Notice of Allowance.
		A fee is required. A check in the amount of is enclosed.
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is
		attached to this submission in duplicate.
	\boxtimes	A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly;
		no fee is believed to be due.
	After	mailing of a final Office Action or Notice of Allowance, but before payment
	issue fee.	
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in
		the amount of is enclosed.
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee
		Transmittal form (PTO/SB/17 is attached to this submission in duplicate.

Certificate of Prompt Filing under 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under

37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been

made; (ii) additional information material to the examination of this application does not exist;

(iii) the information, protocols, results and the like reported by third parties are accurate or

enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the

Patent Office determines that an extension and/or other relief is required, Applicant petitions for

any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this

document to **Deposit Account No. 03-1952** referencing <u>529282000220</u>. However, the Assistant

Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 10, 2002

Respectfully submitted,

Shantanu Basu

Registration No. 43,318

Morrison & Foerster LLP

755 Page Mill Road

Palo Alto, California 94304-1018

Telephone: (650) 813-5995 Facsimile: (650) 494-0792

3

Serial No. 09/757,555 Docket No. 529282000220